

## International Vendor Security Checklist

**MARK THE PROPER BOX FOR EACH QUESTION, COMPLETE BOTTOM PORTION, &  
RETURN VIA E-MAIL TO CTPAT@FAMILYDOLLAR.COM OR FAX TO 704-847-2297**

- |  | YES                      | NO                       |
|--|--------------------------|--------------------------|
| 1. Are all of your buildings constructed of materials which resist unlawful entry and protect against intrusion?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. Do all of your buildings have adequate locking devices on external and internal doors and adequate lighting inside and outside your facilities?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. Are all of your facilities enclosed by fencing?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. Do you have alarm systems and video camera surveillance at all entrances to your facilities as well as receiving and loading docks?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. Do you have 24 hour, 7 day per week security guards on the premises that man or monitor the gates through which vehicles/personel enter and exit?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. Do you provide a parking area for private vehicles that is separate from the shipping, loading dock and cargo areas?  | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. Do you perform a seven-point container inspection (as defined by U.S. Customs and Border Protection) prior to loading a container that ensures the container and locking mechanisms have not been tampered with?  | <input type="checkbox"/> | <input type="checkbox"/> |
| 8. Do you have a procedure in place for affixing, replacing, recording, tracking and verifying seals on containers?  | <input type="checkbox"/> | <input type="checkbox"/> |
| 9. Do you use high security seals that meet or exceed ISO/PAS 17712 standards on all of your factory loaded containers?  | <input type="checkbox"/> | <input type="checkbox"/> |
| 10. Do you have a proper storage area for empty and full containers to prevent unauthorized access?  | <input type="checkbox"/> | <input type="checkbox"/> |
| 11. Do you have procedures in place to properly mark, weigh, count and document products that you receive/ship and report any discrepancies?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 12. Do you conduct employment screening and background checks on prospective employees and do you have a termination policy to remove identification, facility and system access?  | <input type="checkbox"/> | <input type="checkbox"/> |
| 13. Do you prohibit unauthorized access to your facilities and conveyances?  | <input type="checkbox"/> | <input type="checkbox"/> |
| 14. Do you require positive identification and tracking for all visitors, employees, and vendors?  | <input type="checkbox"/> | <input type="checkbox"/> |
| 15. Do you have procedures in place to challenge any unauthorized/unidentified persons that enter your facility?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 16. Do you mark and segregate international product?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 17. Do you have a designated security officer to supervise the introduction of cargo?  | <input type="checkbox"/> | <input type="checkbox"/> |
| 18. Do you have a security awareness training and threat awareness programs for your employees that would help in recognizing internal conspiracies, discovering and addressing unauthorized access, document fraud, and computer security controls?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 19. Do you conduct annual self assessments on security control issues as outlined above?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 20. Does your automated systems use individually assigned accounts that require a periodic change of password?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 21. Have you undergone a C-TPAT and/or Social Compliance audit within the last twelve months by any of the following third party auditors such as, SGS, CSCC, Intertek or Bureau Veritas?<br>( <i>This does not include quality audits only C-TPAT and Social Compliance.</i> )<br>If yes, please attach a copy of the audit results and check the box to the right. | <input type="checkbox"/> | <input type="checkbox"/> |
|  | <input type="checkbox"/> | <input type="checkbox"/> |
|  | <input type="checkbox"/> | <input type="checkbox"/> |
| 22. Are you C-TPAT Certified or Validated with US Customs and Border Protection?<br>If so please provide your corresponding <b>SVI#</b> _____  | <input type="checkbox"/> | <input type="checkbox"/> |
|  | <input type="checkbox"/> | <input type="checkbox"/> |

\_\_\_\_\_  
Factory Name, Physical Address, Province, and Country (Please print or type)

\_\_\_\_\_  
Name of Factory Official (print or type)

\_\_\_\_\_  
Name of Buying Agent / FDS Buyer

\_\_\_\_\_  
Title of Factory Official (print or type)

\_\_\_\_\_  
Name of FDS Vendor

\_\_\_\_\_  
Signature of Factory Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
P.O. Number / LC Number